#32681

<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stephen John HINDE,

Re: Information Disclosure

Statement

Serial No.: 10/005,378

Group: 2681

Filed: December 4, 2001

Examiner: not yet assigned

For: "ENABLING VOICE CONTROL OF

Our Ref: B-4409 619359-2

VOICE-CONTROLLED APPARATORNA

Date: March 11, 2002

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

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Sir:

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In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the British Search Report of the corresponding United Kingdom Patent Application No. GB 0029571.7. A copy of the Search Report (1 page) is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance

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of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on March 11, 2002 by Sazanne Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (3 pages)
Copy of Search Report of GB 0029571.7 (1 page)
Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	B-4409 619359-2	U.S. SERIAL NO. 10/005,378		
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Stephen John HINDE, et al.			
	FILING DATE December 4, 2001	<i>GROUP</i> 2681		

EXAMINER	-				U.S.	PATENT	DOCUMENTS
INITIAL		DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
		5,991,726	11/99	Immarco et al.	704	270	

T					FOREIGN	PATENT	DOCUMENTS
 L	DOCUMENT	NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
L	2 237	410	5/91	GB			
	2 326	490	12/98	GB			
							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Rowley, H.A., et al., "Human Face Detection in Visual Scenes," Carnegie Mellon Computer Science Technical Report CMU-CS-95- 158R, 26 pages (November 1995).
Heinzmann, J. and A. Zelinsky, "3-D Facial Pose and Gaze Point Estimation using a Robust Real-Time Tracking Paradigm," IEEE International Conference on Automatic Face & Gesture Recognition, Nara, Japan, 6 pages (April 1998).
Pappu, R. and P.A. Beardsley, "A Qualitative Approach to Classifying Gaze Direction," <i>IEEE International Conference on Automatic Face & Gesture Recognition</i> , Nara, Japan, 6 pages (April 1998).
Newman, R., et al., "Real-Time Stereo Tracking for Head Pose and Gaze Estimation," <i>IEEE International Conference on Automatic Face & Gesture Recognition</i> , Grenoble, France, pp. 122-128 (March 2000).

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Comment of the contract of the	
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Pentland, A., et al., "View-Based and Modular Eigenspaces for Face Recognition," <i>IEEE Conference on Computer Vision & Pattern Recognition</i> , Seattle, WA, 7 pages (July 1994).	
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MAR 2 1 2002 5	Tenth Scandinavian Confere	"Lip Motion Automatic Detection," nce on Image Analysis, Lappeenratra pages (June 1997).	,
A PRADEMINA	EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.